

Speaker Information Form



Speaker Information

Speaker Name

John Rogers
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Location

Speaker Biography & Credentials



John G. Rogers, Financial Advisor, serves as relationship manager for Graystone Consulting - Farmington Hills, Michigan's foundation, corporate and individual clients. He leads the group's Pooled Employer Plan (PEP) initiatives and execution of the Morgan Stanley at Work platform.

Crain's Detroit Business honored John Rogers on its 2018 "Twenty in Their 20s" list of young professionals who are making a difference in their community. Forbes Magazine named John to its list of America's 500 Top Next Generation Wealth Advisors in 2017, 2018 and 2019.

Graystone Consulting - Farmington Hills, Michigan advises institutional organizations, corporate financial wellness programs and family offices on investment and spending policy, asset allocation strategies, alternative investment selection and individual portfolio management. They provide retirement plan consulting services and cash management to corporate clients. Graystone Consulting consists of more than 50 offices nationwide, providing the personalized service you would expect from a small investment boutique combined with the global resources of Morgan Stanley.

Graystone Consulting - Farmington Hills, 35055 W. 12 Mile Road, Suite 101, Farmington Hills, MI 48331

Twenty in their 20's: Source: Crain's Detroit Business, May 7–13, 2018. The award is based upon a recipient's application and not upon any qualitative and quantitative criteria relating specifically to their position as a Financial Advisor. As such the award is not representative of any client's experience nor is it indicative of the Financial Advisor's future performance. Neither Morgan Stanley Smith Barney nor any of their Financial Advisors pay a fee to the Crain's Detroit Business in exchange for the rating.

Source: Forbes Magazine (July, 2018). Data provided by SHOOKTM Research, LLC. Data as of 3/31/17. SHOOK considered Financial Advisors born in 1980 or later with a minimum 4 years relevant experience, who have: built their own practices and lead their teams; joined teams and are viewed as future leadership; or a combination of both. Ranking algorithm is based on qualitative measures: telephone and in-person interviews, client retention, industry experience, credentials, review of compliance records, firm nominations; and quantitative criteria, such as: assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC, which does not receive compensation from the advisors or their firms in exchange for placement on a ranking. The rating may not be representative of any one client's experience and is not indicative of the Financial Advisor's future performance. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors pays a fee to Forbes or SHOOK Research in exchange for the ranking. For more information see www.SHOOKresearch.com.



Kate Whitmore, Director, MEP Special Markets for Transamerica is a recognized expert in pooled employer plan arrangements. As a pioneer in pooled-plan solutions, Transamerica equips clients with the tools, resources, and professional support to help your employees pursue a healthier, more secure future. With more than 85 years of experience helping people prepare for retirement they are one of the most recognized, trusted names in financial services.

General Information

HRCI/SHRM Information

Credit Type

Business

Activity Type

Webinar

Date of Activity

March 9, 2022

Length of Session

1 hour minimum (45-minute min for presentation followed by Q&A)

Session Information

Session Title

Pooled Employer Retirement Plans and the SECURE ACT

Session Description

The Setting Every Community Up for Retirement Enhancement (SECURE) Act was signed into law on December 20, 2019 as part of the year-end spending bill. With retirees living longer, the SECURE Act endeavors to enhance benefits from 401(k) plans and IRAs. It also helps Qualified Employer Plans provide benefits for their employees.

By now, many HR Leaders are aware of what the SECURE Act means for their individual savings, including changes to the rules related to Required Minimum Distributions and Inherited IRAs. However, after a challenging year of navigating a global pandemic and fighting to keep their businesses alive, HR Leaders may not have had a chance to unpack what the act means for their company and employees.

The SECURE Act and other related government guidance has changed the way employer sponsored retirement plans are administered and address many of the challenges plan sponsors face when they sponsor a retirement plan. Your employees are likely experiencing increased financial stress. More efficient retirement plan administration could help HR Leaders improve retirement outcomes for their employees.

Learning Outcomes

- Unpack what the SECURE Act means for your organization
- Understand the mechanics of a pooled employer plan
- Learn about Fiduciary Duties, including ERISA 3(38), ERISA 3(21) and ERISA 3(16)
- Review best practices with respect to investment oversight and plan benchmarking
- Learn how pooled employer plans may reduce administrative burden

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