

Speaker Information Form



Speaker Information

Speaker Name

Mark Rogers
John Rogers

Email

Mark.j.rogers@morganstanley.com
John.g.rogers@msggraystone.com

Location

Flexible - prefer Southeast MI or
Webinar from home studio

Speaker Biography & Credentials



Mark J. Rogers, CIMA[®], Executive Director, Institutional Consulting Director and Family Wealth Director, has worked in the investment and financial consulting industry since 1985. He serves as a lead consultant and oversees strategic portfolio construction, asset allocation strategy, investment policy analysis, market research and day-to-day investment management responsibilities for client portfolios.

Mark is an Institutional Consulting Director with Graystone Consulting. He works with corporate clients on retirement plans and Workplace Financial Services programs. He applies a disciplined institutional framework to help employers maximize financial benefits for their employees.

As a Family Wealth Director, Mark provides an integrated approach to planning through Family Office Services. The business unit leverages sophisticated resources and exclusive tailored investment opportunities to help meet the complex needs of investors with significant wealth.

Mark earned the IMCA Certified Investment Management Analyst (CIMA) designation from Wharton School of Business, University of Pennsylvania. He is a member of Investment Management Consultants Association (IMCA). He graduated from Michigan State University with a Bachelor of Arts degree in Business/Economics. Mark is founder and chair of the Birmingham Country Club Business Roundtable, a business networking group.



John G. Rogers, Financial Advisor, serves as relationship manager for Graystone Consulting - Farmington Hills, Michigan's foundation, corporate and individual clients. He leads the group's mission and impact investing initiatives and execution of the Morgan Stanley at Work platform.

John is a thought leader in the impact investing space. John earned the title Investing with Impact Director, a designation assigned to a small group of advisors who have significantly incorporated Investing with Impact into their practice. He serves on the Council of Michigan Foundation's Impact Investing Task Force, a working group that aims to unlock Michigan's impact investing potential.

Crain's Detroit Business honored John Rogers on its 2018 "Twenty in Their 20s" list of young professionals who are making a difference in their community. Forbes Magazine named John to its list of America's 500 Top Next Generation Wealth Advisors in 2017, 2018 and 2019.

Graystone Consulting - Farmington Hills, Michigan advises institutional organizations, corporate financial wellness programs and family offices on investment and spending policy, asset allocation strategies, alternative investment selection and individual portfolio management. They provide retirement plan consulting services and cash management to corporate clients. Graystone Consulting consists of more than 50 offices nationwide, providing the personalized service you would expect from a small investment boutique combined with the global resources of Morgan Stanley.

Graystone Consulting - Farmington Hills, 35055 W. 12 Mile Road, Suite 101, Farmington Hills, MI 48331

The returns on a portfolio consisting primarily of Environmental, Social and Governance ("ESG") aware investments may be lower or higher than a portfolio that is more diversified or where decisions are based solely on investment considerations. Because ESG criteria exclude some investments, investors may not be able to take advantage of the same opportunities or market trends as investors that do not use such criteria.

Twenty in their 20's: Source: Crain's Detroit Business, May 7–13, 2018. The award is based upon a recipient's application and not upon any qualitative and quantitative criteria relating specifically to their position as a Financial Advisor. As such the award is not representative of any client's experience nor is it indicative of the Financial Advisor's future performance. Neither Morgan Stanley Smith Barney nor any of their Financial Advisors pay a fee to the Crain's Detroit Business in exchange for the rating.

Source: Forbes Magazine (July, 2018). Data provided by SHOOKTM Research, LLC. Data as of 3/31/17. SHOOK considered Financial Advisors born in 1980 or later with a minimum 4 years relevant experience, who have: built their own practices and lead their teams; joined teams and are viewed as future leadership; or a combination of both. Ranking algorithm is based on qualitative measures: telephone and in-person interviews, client retention, industry experience, credentials, review of compliance records, firm nominations; and quantitative criteria, such as: assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC, which does not receive compensation from the advisors or their firms in exchange for placement on a ranking. The rating may not be representative of any one client's experience and is not indicative of the Financial Advisor's future performance. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors pays a fee to Forbes or SHOOK Research in exchange for the ranking. For more information see www.SHOOKresearch.com.

Session Information

Session Title

KNOWING BETTER, DOING BETTER: HR EXECUTIVES' PERSPECTIVES ON EMPLOYEE FINANCIAL HEALTH

Session Description

In the past several years, employers have begun thinking about their employees' financial health in more deliberate and strategic ways. Financial health initiatives have become more common in the workplace and employees increasingly expect this type of support from their employers. But how do employers know if the solutions they offer are addressing their employees' needs? How aware are human resources (HR) executives and decision-makers of the specific pain points their employees face in their financial lives? And when faced with an uncertain economic future, how can employers make more targeted, high-impact benefits decisions?

To begin to answer these questions, the Financial Health Network conducted a survey of 770 HR executives from midsize and large companies across eight industries in July 2020. Data and insights in this report were developed with strategic contributions and financial support from Morgan Stanley. This session will review the survey which aimed to better understand how aware employers are of their employees' financial health struggles, the actions companies have taken to incorporate financial health into their human capital strategies, and how businesses are making financial health benefits decisions during the current crisis and beyond.

Learning Outcomes

- Understand that a majority of employers consider themselves aware of the financial health challenges of their employees and are taking action to address these challenges.
- Recognize that employers are maintaining or increasing their investment in financial health benefits as a result of COVID-19.
- Appreciate that employers have an opportunity to be more deliberate in how they steward their efforts, energy, and investment in support of their employees.

Investments and services offered through Morgan Stanley Smith Barney LLC. Member SIPC. Graystone Consulting is a business of Morgan Stanley. CRC 3466174 2/2021